

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
FORM PTO-1390 (REV 10-95)		0074-26485GWW
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/673,808
INTERNATIONAL APPLICATION NO. PCT/NZ99/00049	INTERNATIONAL FILING DATE (23.04.99) 23 April 1999	PRIORITY DATE CLAIMED (23.04.98) 23 April 1998
TITLE OF INVENTION AN IN-LINE EARLY REFLECTION ENHANCEMENT SYSTEM FOR ENHANCING ACOUSTICS		
APPLICANT(S) FOR DO/EO/US POLETTI, Mark		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11. to 16. below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"> - Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371 (Form PTO-1390) (SECOND or SUBSEQUENT Submission); - Petition for Extension Under 37 CFR 1.136(1) for one month; - Copy of NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) (Form PCT/DO/EO/905); - Check in the amount of \$240 (surcharge of extension fee); and - Post card receipt. 		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/673,808	INTERNATIONAL APPLICATION NO. PCT/NZ99/00049	ATTORNEY'S DOCKET NUMBER 0074-26485GWW																
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Search Report has been prepared by the EPO or JPO</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482)</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)</p>		<p>CALCULATIONS PTO USE ONLY</p> <div style="border: 1px solid black; height: 100px; width: 100%;"></div>																
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$																
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>		\$130.00																
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 25%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>- 20 =</td> <td>X</td> <td>\$</td> </tr> <tr> <td>Independent claims</td> <td>- 3 =</td> <td>X</td> <td>\$</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+</td> <td>\$</td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	- 20 =	X	\$	Independent claims	- 3 =	X	\$	MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+	\$	\$130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE															
Total claims	- 20 =	X	\$															
Independent claims	- 3 =	X	\$															
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+	\$															
<p>Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</p>		\$																
SUBTOTAL =		\$130.00																
<p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>		\$																
TOTAL NATIONAL FEE =		\$130.00																
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p>		\$																
TOTAL FEES ENCLOSED =		\$130.00																
<p>Repl. Ref: 01/17/2001 MNNGUYEN 0008265900 DA#:01406 Name/Number:09673808 FC: 704 \$90.00 CR</p>		Amount to be: refunded \$ charged \$																
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$ 130.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-1406</u>. A duplicate copy of this sheet is enclosed.</p>																		
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>																		
<p>SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 000110 OR DANN, DORFMAN, HERRELL AND SKILLMAN, P.C 1601 Market Street, Suite 720 Philadelphia, PA 19103-2307 United States of America</p>																		
<p><i>Vincent T. Pace</i> SIGNATURE: <u>VINCENT T. PACE</u> NAME <u>31,049</u> REGISTRATION NUMBER</p>																		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER OF PATENTS
AND TRADEMARKS

Washington, DC 20231

BOX PCT

Date of Mailing
January 12, 2001

Express Mail Label No.
EL261101900US

Applicant's or Agent's File Reference
0074-826485GWW

IDENTIFICATION OF THE INTERNATIONAL APPLICATION

Int'l Appln. No.
PCT/NZ99/00049

Int'l. Filing Date
23 April 99

Applicant (name)
POLETTI

TRANSMITTAL OF LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)**Attention: PCT International Division**

We are enclosing the following for the above-identified international application:

- 1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390) (**SECOND** or **SUBSEQUENT** submission);
- 2) Petition for Extension Under 37 CFR 1.136(a) for one month;
- 3) Declaration, Power of Attorney and Power to Inspect (1 page);
- 4) Assignment in favor of INDUSTRIAL RESEARCH LIMITED, including recordation cover sheet;
- 5) Copy of **NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)** (Form PCT/DO/EO/905); and
- 6) Check in the amount of \$240 (surcharge and extension fee).